

DNM

TO: Board of Visitors Audit Committee

Ross Mugler, Chair
R. Bruce Bradley, Vice Chair
Lisa B. Smith (*ex-officio*)
Kay A. Kemper (*ex-officio*)
Carlton F. Bennett
Jerri F. Dickeski
Donna L. Scassera
Robert M. Tata

5. Confucius Institute (Agreed Upon Procedures 2016 and 2017)
6. Payment Card Industry (PCI) Compliance
7. Institutional Scholarships
8. Active Directory
9. Special Projects / Other Engagements

B. Presentation of Completed Audit Reports (Enclosed)

1. Office of the President FY2018 Annual Audit
2. Card Center Information Technology

C. Open Audit Issues Update

III. Report from the Vice President for Administration and Finance

- A. Red Flag Report
- B. University Compliance

UNIVERSITY AUDIT DEPARTMENT



Activity and Status of Audit Issues since June 2018

University Audit Department
November 21, 2018

Activity and Status of Audit Issues Since June 2018

Issue Status by Engagement

Engagement Title	Report Date	# of Issues Closed Since 6/01/18	# of Issues Remaining as of 11/20/18
International Student and Scholar Services	5/17/12	1	0
Foundation Relationships/Transactions	5/24/13	1	0
Monarch Physical Therapy	5/29/15	2	

Activity and Status of Audit Issues Since June 2018

Summary Status by Executive Area

Audit Engagement Title	Report Date	# of Issues Closed
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Activity and Status of Audit Issues Since June 2018

Action Plan Status by Report Date

Area	Audit Title	Audit Finding	Category	Report Date	Original Due Date	Status
Academic Affairs	International Student and Scholar Services	Improve Essential Processes	Process	5/17/12	9/15/12	Complete
Administration and Finance	Foundation Relationships/Transactions	Updated or Formal Written Agreements with ODURF	Legal	5/24/13	N 10.56 115.8 440.16 Tm()Tj17al5T9	

Activity and Status of Audit Issues Since June 2018

Activity and Status of Audit Issues Since June 2018

Area	Audit Title	Audit Finding	Category	Report Date	Original Due Date	Status
		Adherence to Asset Policies and Procedures	Compliance	3/30/18	3/31/18	In Progress
		Emergency Management Plans	Compliance	3/30/18	3/31/18	In Progress
Athletics	NCAA Eligibility	Records Management	Compliance	4/16/18	1/15/19	In Progress
		Strengthen Practices	Compliance	4/16/18	1/15/19	In Progress
		Evidence of Approval for Eligibility Policy and Procedures	Compliance	4/16/18	8/01/18	Complete
Academic Affairs	College of Education	Purchase Card Reconciliation Requirements	Compliance	5/31/18	9/30/18	Complete
		Fixed Asset Control	Control	5/31/18	9/30/18	Planned
		Internal Fee Schedule Alignment	Financial	5/31/18	7/01/18	In Progress
		Waitlist Procedures and Supporting Documentation	Operational	5/31/18	7/01/18	Complete
		Policies and Procedures for Unpaid Account Balances	P&P	5/31/18	6/01/18	Complete
		User Account Management Web Server Vulnerabilities	Info Tech Info Tech	5/31/18 .24 289.8	6/01/18	Complete